

## **Myles Scott**

Financial Advisor  
4400 Post Oak Parkway, Suite 1400  
Houston, TX 77027  
(713) 250-4224  
[mScott@brileywealth.com](mailto:mScott@brileywealth.com)



40 S. Main St., Suite 1800  
Memphis, TN 38103  
1-800-726-0557

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This Brochure Supplement provides information about Myles Scott that supplements the B. Riley Wealth Advisors, Inc. Brochure. You should have received a copy of that Brochure. Please contact B. Riley's Compliance Department if you did not receive the B. Riley Wealth Advisors Brochure or if you have any questions about the contents of this Supplement.

Additional information about this Financial Advisor is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Business Experience and Educational Background**

**CRD #:** 5157172

**Year of Birth:** 1981

**Education:** Brigham Young University – Idaho, Bachelor of Science – Economics, 2006  
Rice University, Master of Business Administration, 2013

### **Business Experience:**

August 2022 to Present	Financial Advisor, B. Riley Wealth Advisors
May 2019 to Present	Registered Representative, B. Riley Wealth Management
June 2012 to May 2019	Registered Representative, U.S. Capital Advisors, LLC
October 2006 to June 2012	Senior Specialist, Merrill Lynch, Pierce, Fenner & Smith Inc.
June 2006 to September 2006	Licensed Banker, Wells Fargo Investments

## **Item 3 – Disciplinary Information**

Mr. Scott has no disciplinary actions to disclose.

## **Item 4 – Other Business Activities**

Mr. Scott is a registered representative with B. Riley Wealth Management, Inc. (“BRWM”), member FINRA/SIPC, as well as an investment advisory representative (“IAR”) of B. Riley Wealth Advisors, Inc. (“BRWA”) and licensed to conduct business in this state.

Registered representatives of BRWM provide securities brokerage services for commissions and receive a portion of the brokerage commissions paid to BRWM. They may also receive a portion of any ongoing distribution of service (trail) fees from the sale of mutual funds or from variable annuity purchases. The dual registration presents an inherent conflict of interest and an incentive to recommend investment products based on the compensation received, rather than on a client’s needs.

However, in accordance with fiduciary duties of an IAR and as a matter of Firm procedure, IARs assist clients in making decisions regarding whether to establish a brokerage or an advisory account (and determine the types of transactions that will take place through each account if a client has both accounts) based on the clients’ goals, objectives, risk tolerance, and other factors.

As a registered representative of BRWM, Mr. Scott can only offer those products and services available through BRWM. Mr. Scott will direct all your broker-dealer securities transactions through BRWM, and he will only offer advisory programs available through BRWA. This means that you may be able to receive better transaction pricing and execution at a different broker-dealer, and you may be able to receive a more appropriate advisory program from a different registered investment advisor.

## **Item 5 – Additional Compensation**

As an SEC-registered Investment Adviser, BRWA pays Mr. Scott a portion of any investment advisory fees collected. As a Broker-Dealer, BRWM pays Mr. Scott a portion of any commissions, markups and transaction charges collected, but only on non-advisory assets. Other than normal production bonuses, Mr. Scott does not receive additional compensation based on the amount of new accounts or client referrals.

## **Item 6 – Supervision**

BRWA provides investment advisory and supervisory services in accordance with the BRWA Policies and Procedures. Each advisor associated with BRWA has been assigned a supervising principal. The supervising principal, or any properly documented qualified designee, is responsible for undertaking the day-to-day supervision of the advisor's activities. Supervising principals have primary responsibility to review and approve the account activities of the advisors assigned to them and may counsel with the Compliance Department to address perceived issues as deemed appropriate. The Compliance & Supervision Departments also provide additional oversight functions, as necessary. Each BRWA advisor must acknowledge that he or she is aware of and agrees to abide by all applicable government and industry regulations as well as the BRWA Code of Ethics.

Robert Anthony, Branch Manager, has primary responsibility for the supervision of Mr. Scott and may be reached at 713-226-4711.